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Frank C. Eisenschenk
Frank C. Eisenschenk, Ph.D., Patent Attorney

INFORMATION DISCLOSURE
STATEMENT

Examining Group 1615
Patent Application
Docket No. ARS-117
Serial No. 10/550,775

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 1615
Applicants : Georg Feger, Ursula Boschert, Yves Sagot, Ruben Papoian
Serial No. : 10/550,775
Filed : September 27, 2005
Conf. No. : 1797
For : Use of Clusterin for the Treatment and/or Prevention of Peripheral
Neurological Diseases

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 AND 1.98

Sir:

The patent application referred to above was filed in the U.S. Patent Office as a national application under 35 U.S.C. § 371. Applicants have been notified that a copy of the International Search Report has been made available in the national stage file as indicated on the Notice of Acceptance of the Application Under 35 USC 371 (Form PCT/DO/EO/903). The Notice does not indicate whether copies of the references cited in the International Search Report were received by the Patent Office. Therefore, copies of the references cited in the International Search Report are enclosed for the Examiner's convenience. However, Applicants have not submitted copies of the published U.S. Patent Application cited on attached Form PTO/SB/08 pursuant to 37 C.F.R. 1.98(a)(2)(ii).

Applicants respectfully assert that the substantive provisions of 37 C.F.R. §§ 1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

A handwritten signature in black ink that reads "Frank C. Eisenschenk". The signature is written in a cursive, flowing style with a long horizontal stroke at the end.

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Attachment: Form PTO/SB/08; copies of cited references.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/550,775	
			Filing Date	September 27, 2005	
			First Named Inventor	Georg Feger	
			Art Unit	1615	
			Examiner Name		
Sheet	1	of	2	Attorney Docket Number	ARS-117

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	U1	US-2002/040013	04-04-2002	Stutzmann <i>et al.</i>	All
	U2	US-			
	U3	US-			
	U4	US-			
	U5	US-			
	U6	US-			
	U7	US-			
	U8	US-			
	U9	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	F1	WO 02/092122	11-21-2002	Applied Research Systems ARS Holdings N.V.	All	
	F2	EP 0513513	11-19-1992	Alfa Wasserman S.p.A.	All	
	F3					
	F4					
	F5					
	F6					
	F7					

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard T.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	10/550,775
Filing Date	September 27, 2005
First Named Inventor	Georg Feger
Group Art Unit	1615
Examiner Name	
Attorney Docket Number	ARS-117

Sheet	2	of	2
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	R1	BONNARD, A.-S. <i>et al.</i> "Expression of clusterin and C4 mRNA during rat peripheral nerve regeneration" <i>Immunopharmacology</i> , 1997, pp. 81-86, Vol. 38.	
	R2	GIANNAKOPOULOS, E. <i>et al.</i> "Possible neuroprotective role of clusterin in Alzheimer's disease: a quantitative immunocytochemical study" <i>Acta Neuropathol</i> , 1998, pp. 387-384, Vol. 95.	
	R3	ROSENBERG, M.E. <i>et al.</i> "Clusterin: Physiologic and Pathophysiologic Considerations" <i>Int. J. Biochem. Cell Biol.</i> , 1995, pp. 633-645, Vol. 27.	
	R4		
	R5		
	R6		
	R7		
	R8		
	R9		
	R10		
	R11		
	R12		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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